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ATTN: BOX ISSUE FEE

PATENT

Attorney Docket No. 7906.0013-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
YASUSHI NAKASAKI ) Notice of Allowance Dated: 10/5/04  
Application No.: 10/712,369 ) Group Art Unit: 1763  
Filed: November 14, 2003 ) Examiner: A. Olsen  
For: SURFACE TREATING METHOD )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**REQUEST FOR INITIALED FORM PTO 1449**

An Information Disclosure Statement was filed in this application on November 14, 2003. In reviewing our file, we find that we have not received from your Office a copy of the Form PTO-1449 which would serve as acknowledgment of receipt of the Information Disclosure Statement and as an indication that the references were considered by the examiner.

Copies of the Information Disclosure Statement, the Information Disclosure Citation on Form PTO-1449, and the postcard that was filed with the Information Disclosure Statement and was stamped by the U.S. Patent and Trademark Office to indicate receipt of the papers, are attached.

The Examiner is respectfully requested either to send us a duplicate of the copy of the Form PTO-1449 or issue a Supplemental Notice of Allowability (PTOL-37) which both acknowledges receipt of the Information Disclosure Statement and the Information Disclosure Citation, and indicates that the references were considered by the Examiner.

If there are any fees due in connection with the filing of this Request, please charge the fees to Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: 11/11/04

By:

  
Richard V. Burguiere  
Reg. No. 31,744

RVB/ALN



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**PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:**

In Re Continuation Application of: YASUSHI NAKASAKI

Application No.: Unknown

Prior Group Art Unit: 1763

Filed: November 14, 2003

Prior Examiner: A. Olsen

For: SURFACE TREATING METHOD

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1. Rule 1.53 filing letter (w/ copy of parent application USSN 09/672,018 filed 9/29/00, copy of Substitute Specification and Marked-up Version filed July 3, 2003, in parent application, and executed Declaration);
  2. PRELIMINARY AMENDMENT;
  3. INFORMATION DISCLOSURE STATEMENT (w/ Form PTO 1449); and
  4. Check in the amount of \$1028.00

Dated: November 14, 2003

Docket No.: 07906.0013-01000

(Due Date: N/A)

RVB/ln - Mail Drop 644



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PATENT

Attorney Docket No. 7906.0013-01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Continuation Application of:

)

YASUSHI NAKASAKI

) Parent Group Art Unit: 1763

Application No.: To be Assigned

) Parent Examiner: A. Olsen

Filed: November 14, 2003

) Continuation Application of U.S. Patent

For: SURFACE TREATING METHOD

) Application Serial No. 09/672,018, filed  
September 29, 2000)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed concurrently with the above-identified continuation application.

Copies of the listed documents were previously submitted in prior application no. 09/672,018, filed September 29, 2000, upon which applicant relies for the benefits provided in 35 U.S.C. § 120. Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicant determines

FINNEGAN  
HENDERSON  
FARABOW  
GARRETT &  
DUNNER LLP

1300 I Street, NW  
Washington, DC 20005  
202.408.4000  
Fax 202.408.4400  
[www.finnegan.com](http://www.finnegan.com)

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that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: 11/13/03

By:

  
Richard V. Burgujian  
Reg. No. 31,744

FINNEGAN  
HENDERSON  
FARABOW  
GARRETT &  
DUNNER LLP

1300 I Street, NW  
Washington, DC 20005  
202.408.4000  
Fax 202.408.4400  
[www.finnegan.com](http://www.finnegan.com)

## INFORMATION DISCLOSURE CITATION

Atty. Docket No.	7906.0013-01	Appln. No.	Unknown
Applicant	Nakasaki		
Filing Date	November 14, 2003	Prior Group:	1763

## U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	6,413,583	7/2002	Moghadam et al.			
	5,796,111	8/1998	Mahoney			
	5,459,326	10/1995	Yamada			

## FOREIGN PATENT DOCUMENTS

	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
	10-137704	5/26/98	Japan			
	5-7869	1/19/93	Japan			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

*	Bach et al., "Nature of the Transition Structure for Oxygen Atom Transfer from a Hydroperoxide. Theoretical Comparison Between Water Oxide and Ammonia Oxide," J. Am. Chem. Soc., 113:6001-11 (1991)

Examiner	Date Considered
*Examiner:	Initial if reference considered, whether or not citation is in conformance with MPEP §609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce